LMC:ejv 12/5/01 84366.doc Attorney Reference Number 3533-61755 EXPRESS MAIL LABEL NO. EL584430178US DATE OF DEPOSIT: November 27, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Transmitted herewith for filing is the divisional patent application of	itent application of:
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Inventor(s): Anup Sircar

LOW-BORON GLASS FIBERS AND GLASS COMPOSITIONS FOR MAKING THE

SAME

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Fnc	losed	are.

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\boxtimes	<u>16</u> pag	ges of specification, 5 pages of claims, and an abstract
\boxtimes	An Oa	th or Declaration
	\boxtimes	A copy of oath or declaration filed with the prior application (37 C.F.R.§ 1.63(d))
	\boxtimes	A copy of Supplementary Declaration by Assignee on Behalf of Inventor Who
		Refuses to Sign Declaration (37 C.F.R. § 1.47(b) filed with the prior application.
		A copy of Notice of Grant of Petition under 37 C.F.R. § 1.47(b) filed

September 5, 2000. \boxtimes **Preliminary Amendment**

\sim	. •	•	A	4	
Con	tını	ııng	Ann	lication:	
~~~			• • • •		

inuing Application:	Information from Prior Application:
Divisional	Prior Application Number: 09/533,385 Examiner: K. Group Art Unit: 1755

 $\boxtimes$ Please enter the preliminary amendment prior to calculation of fees. The fee has been calculated as shown in the table below.

		FILING I	FEE			
	Claims	Number		Number .		Basic Fee
For	Filed	Allotted		Extra	Rate	\$370.00
Total Claims	10	20	=	0	\$9.00	\$ 0.00
Independent Claims	3	3	=	0	\$42.00	\$ 0.00
Multiple Dependent Clair	m Fee				\$140.00	
TOTAL FILING FEE						\$370.00

$\boxtimes$	Small entity s	status is o	claimed fo	or this a	pplication.
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$\boxtimes$	A check in the amount of \$370.00 to cover illing fee and assignment recordal fee
	is enclosed.

Assignee for this application is or will be <u>Evanite Fiber Corporation</u>, and applicant requests that this information be listed on the published application.

- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
- The Director is hereby authorized to charge any additional fees which may be required in connection with the filing of this application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Ву

Lisa M. Caldwell Registration No. 41,653

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391 Facsimile: (503) 228-9446

cc: Docketing

LMC:cjv 08/28/00 3533-53818 15136



PATENT Attorn y's Matter No. 3533-53818



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Anup Sircar

Application No. 09/533,385

Filed: March 22, 2000

For: LOW-BORON GLASS FIBERS AND

GLASS COMPOSITIONS FOR

MAKING THE SAME

Examiner:

Date: August 28, 2000

Art Unit: 1731

## CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed heregith are being deposited with the United States Postal Service on Assistance from PATENTS.

WASHINGTON, D.C. 2023 1.

Anomey for Applicant

# PETITION UNDER 37 C.F.R. § 1.47(b) AND STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NON-SIGNING INVENTOR ANUP SIRCAR

TO THE COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

This petition and statement of facts are made as to the exact facts that are relied upon to establish that diligent effort has been made to secure the execution of the declaration by the non-signing inventor Anup Sircar (inventor who refuses to sign) for the above-identified patent application before deposit thereof in the Patent and Trademark Office.

This statement is made by the available person having first-hand knowledge of the facts recited therein.

## IDENTIFICATION OF PERSONS MAKING THIS STATEMENT OF FACTS

TM Singh
Evanite Fiber Corporation
1115 SE Crystal Lake Drive
PO Box E
Corvallis, Oregon 97339-0598

## LAST KNOWN ADDRESS OF THE NON-SIGNING INVENTOR

Anup Sircar 2563 NW Kinderman Dr. Corvallis, Oregon 97330





Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Paper No. 5

KLARQUIST SPARKMAN CAMPBELL LEIGH & WHINSTON, LLP ONE WORLD TRADE CENTER, SUITE 1600 121 S.W. SALMON STREET PORTLAND, OR 97204-2988

**COPY MAILED** 

JAN 19 2001

OFFICE OF PETITIONS

A/C PATENTS

:

:DECISION ACCORDING STATUS

:UNDER 37 CFR 1.47(b)

•

In re Application of Anup Sircar Application No. 09/533,385 Filed: March 22, 2000 Attorney Docket No. 3533-53818

This is in response to the "Petition Under 37 CFR 1.47(b)," filed September 5, 2000.

The petition is granted.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(b). This application is hereby accorded Rule 1.47(b) status.

As provided in Rule 1.47(b), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

Telephone inquiries regarding this decision should be directed to Karen Creasy at (703) 305-8859.

This application is being forwarded to Technology Center 1700 for further examination in due course.

Frances Hicks

Petitions Examiner Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy

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LMC:ejv 09/01/00 3533-53818 16731 FORM PTO-1595 1-31-92

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

## **PECORDATION FORM COVER SHEET**

	S ONLY				
To the Director of Patents and Trademarks. Please record the at	tached original documents or copy thereof.				
1. Name of Conveying Party(ies):	2. Name and address of receiving party(ies):				
Anup Sircar					
Additional name(s) of conveying party(ies) attached? TYES 🔀 NO	Name: Evanite Fiber Corporation				
3. Nature of Conveyance:	Internal Address:				
Security Agreement Change of Name					
Other	Street Address: 1115 SE Crystal Lake Drive				
Execution Date: April 13, 1998					
	City Corvallis State OR ZIP 97339				
	Additional name(s) & address(es) attached? Yes No				
4. Application number(s) or patent number(s):					
If this document is being filed together with a new application	on, the execution date				
If this document is being filed together with a new application of the application is:  A. Patent Application No.(s)  09/533,385					
A. Patent Application No.(s)	B. Patent No.(s)				
09/533,385					
19 ann					
Additional numbers atta	ached? 🔲 Yes 🔀 No				
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: 1				
concerning document should be mailed:					
TName: Lisa M. Caldwell					
Klarquist Sparkman Campbell Leigh & Whinston, LLP	7. Total fee (37 C.F.R. § 3.41): \$40.00 Enclosed				
Internal Address:					
One World Trade Center, Suite 1600 Street Address:	8. Any deficiency/overpayment is authorized to be				
121 S.W. Salmon Street	charged to deposit account 02-4550				
Portland, Oregon 97204-2988					
DO NOT USE	THIS SPACE				
9. Statement and signature.					
To the best of my knowledge and belief, the foregoing informa	tion is true and correct and any attached copy is a true copy of				
the original document.					
Lisa M. Caldwell	9/1/00				
Name of Person Signing Sig	gnature Date				
Total number of pages including cover	r sheet, attachments and document: 7				
OMB No. 0651-0011 (exp. 4/94)					

		INVENTION DISCLOSURE FORM Sheet 1 of 4
		INVENTION DISC FIRER COMPOSITION AND USE
1.	TITLE	OF INVENTION: BORIC OXIDE FREE GLASS FIBER COMPOSITION AND USE
2.		Payroll Code: Extension: 346  SS: 2563 N.W. KINDERMAN DR Citizen of: USA  CORVALLIS, OREGON 97330
	Name: Addre	Payroll Code: Extension: C
	Addit	ional inventors:
IODIOSII IEOSOI		
년 년 -	PROO	F OF CONCEPTION  ANUP SIRCAR Date MARCH 96
	Α.	Location of first drawing Office
	В.	By whom was first description written? ANUP SIRCAR Date Togation of first description
	c.	To whom was invention first disclosed? T.M. SINGH Date PRIL 96
4.	REDU	JCTION TO PRACTICE
	A.	Was a device embodying the invention constructed and tested or the process practiced? Yes (x) No ()
	В.	By whom was the device constructed and tested or the process
		Date Started APRIL/MAY 96 Date Completed
	c.	Present location of documents, including photographs, drawings, and data sheets showing reduction to practice: OFFICE AT EVANITE

		INVENTION DISCLOSURE FORM Sheet 2 of 4
5.	WORK	ASSIGNMENT
	Α.	Indicate by account number and title project(s) to which time was charged in the development of the invention.
		Account No Project Name
		Account No Project Name
	В.	Was the invention developed under a government contract? Yes () No (x). Contract No.
6.	PUBL	IC DISCLOSURE
	Α.	Has the invention been publicly disclosed in a printed publication? Yes ( ) No (x) Publication
11 M	В.	Has the invention been publicly disclosed in any other way such as, for example, in a presentation, at a conference, or in a demonstration? Yes ( ) No (x ) If so, when and where?
THE PARTY OF THE P	c.	If the invention has not been publicly disclosed, is public disclosure of it planned? Yes $(x)$ No $(x)$ If so, when and where?
	D.	Are there any related invention disclosures or patent applications? Yes ( ) No (x) If so, please elaborate.
7.	SALE	-
	Α.	Has a product embodying the invention or made by the invention been sold or offered for sale? Yes (x) No ( ) If so, when?
	В.	If a product embodying the invention or made by the invention has not been sold or offered for sale, are there plans to sell or offer for sale such a product? Yes () No () If so, what is the planned date of sale or offer for sale?
		•

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A. Give a brief description of the invention, particularly pointing out the feature(s) believed to be new.

SEE ATTACHED DISCLOSURE STATEMENT

Explain the purpose and advantages of the invention. What benefit does it provide and/or what problem does it solve? В.

SEE ATTACHED DISCLOSURE STATEMENT

Describe or identify the prior art known to you which is improved upon or displaced by the invention. c.

NOTEKACT PRIOR ART FOR THIS COMPOSITION AND USE

Sheet 4 of

### 9. DETAILED DESCRIPTION OF THE INVENTION

A. Describe the invention in detail using additional sheets as necessary. Be sure that each additional sheet is signed and dated by each inventor and two witnesses. The description should include at least one drawing or sketch. If the invention has been tested, briefly summarize the results which confirm the functions and advantages listed in Section 8B above. Append any reports or other material which would help to describe the invention.

SEE SHEET APPENDED

Amup Sulav signature inventor	3/2/98 date	signature inventor	date
Read and understood by:  signature witness	3/2/98 date	signature witness	date

1115 S.E. Crystal Lake Drive Post Office Box E Corvallis, Oregon 97339-0598

(541) 753-0388 Fax (541) 753-1211 Phone



March 24, 2000

Receised Represented

Dr. Anup Sircar SGR, Inc. 2563 NW Kinderman Drive Corvallis OR 97330

Dear Dr. Sircar,

RE: Patent Application: Low Boron Glass Fiber Composition and Glass Composition for Making Same

Thanks for reviewing the final draft of the patent application referred to above. Per my earlier letter of March 20, 2000 and your fax of March 20, 2000, we hope your attorney had a chance to review the *Combined Declaration and Power of Attorney for Patent Application* by now.

As requested earlier, please forward it to us duly signed and dated by you in blue ink as soon as possible.

We are providing another copy of the Combined Declaration in case you have forwarded the original one to your attorney.

Sincerely,

T. M. Singh

Director of Technology

Attachment

c: Tony Gaetz



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LMC:ejv 08/28/00 3533-53818 15136

PATENT Attorney's Matter N . 3533-53818

**5**. The inventor Anup Sircar never returned the declaration nor responded to TM Singh's telephone call.

Date:

TM Singh

Director of Technology Evanite Fiber Corporation

08/28/00 3533-53H1H 15136

Attorney's Matter No. 3533-53818

## FACTS ESTABLISHING ASSIGNEE'S (EVANITE FIBER CORPORATION'S) PROPRIETARY INTEREST IN THE APPLICATION

- On April 13, 1998, the non-signing inventor, Anup Sircar, assigned all of 1. his rights, title, and interest in the subject application to Evanite Fiber Corporation (Exhibit A).
- 1998, inventor Anup Sircar terminated his employment 2. with Assignee, Evanite Fiber Corporation.

## **DETAILS OF EFFORTS TO OBTAIN** NON-SIGNING INVENTOR ANUP SIRCAR'S SIGNATURE

- On or about March 17, 2000, TM Singh, Director of Technology of 1. Evanite Fiber Corporation (the Assignee of the subject patent application), telephoned and spoke to inventor Anup Sircar. TM Singh informed the inventor that he was forwarding a copy of the subject application and a Declaration for review and execution, respectively. The inventor Anup Sircar responded with the comment to send the papers and that he would "see what he needed to do."
- On or about March 24, 2000, TM Singh mailed (via U.S. Mail, Return 2. Receipt Requested) a letter to the inventor Anup Sircar requesting review of the enclosed application and execution of the enclosed declaration (Exhibit B).
- On or about March 27, 2000, TM Singh received from the U.S. Post 3. Office a Return Receipt having inventor Anup Sircar's signature indicating that the inventor Anup Sircar received the letter, application, and declaration on March 25, 2000 (Exhibit C).
- On or about March 31, 2000, TM Singh telephoned inventor Anup Sircar 4. to inquire as to whether the inventor intended to execute and return the declaration for the subject application. The inventor was not available; TM Singh left a message on the inventor's voice mail requesting that inventor please sign and return the declaration.

4	
Fold an	COMPLETE THIS SECTION ON DELIVERY
Stick postage than the charges for any 1. If you want the godress leaving sindow or hand 2. If you do no return address to a return address on a return recogummed ends if RETURN RECUA. If you wan addressee, and 5. Enter fees seeipt. If return save this return recognitions are the save this return save this return recognitions.	A. Received by (Please Print Clearly) B. Date of Delivery 3/25/00
k postage stamp arges for any selectifyou want this readress leaving the adow or hand it to yell you want a return address of the areturn receipt can made ends it space TURN RECEIPT RILL If you want delive dressee, endorse REnter fees for the pelpt. If return receipt are the return receipt are the selection of	C. Signature  X. June Sugar Agent Addressee  Addressee
1. Article Addressed to:	D. Is delivery address different from item 1? ☐ Yes  If YES, enter delivery address below: ☐ No
DR. A. SIREAR	
2563 NW	· 
KINDERMAN DR.	3. Service Type
CORVALLIS OR	☐ Certified Mail ☐ Express Mail ☐ Registered ☐ Insured Mail ☐ C.O.D.
97330	4. Restricted Delivery? (Extra Fee) ☐ Yes
2. Article Number (Copy from service label)  P. 2 70 - 575 - 575	
PS Form 3811, Júly 1999 1 11 11 Domestic Return Receipt 102595-99-M-1789	

EXHIBIT B

### ASSIGNMENT

WHEREAS, I, Anup Sircar, of Corvallis, County of
Benton, State of Oregon, have invented a certain invention

(disclosure attached hereto as Exhibit A), entitled BORIC OXIDE

FREE GLASS FIBER COMPOSITION AND USE, for which an application

for Letters Patent of the United States is being made; and

WHEREAS, Evanite Fiber Corporation, a corporation organized under the laws of the State of Oregon, having a place of business at 1115 S.E. Crystal Lake Drive, Corvallis, Oregon 97339, is desirous of acquiring an interest therein:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, Anup Sircar, hereby sell, assign and transfer to Evanite Fiber Corporation, the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Evanite Fiber Corporation, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and

ASSIGNMENT - PAGE 1 OF 2



entirely as the same would have been held by me had this assignment and sale not been made, and covenant that I have full right so to do, and agree that I will communicate to Evanite Fiber Corporation, or its successors and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid Evanite Fiber Corporation, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

Executed at Corvallis, State of Oregon, this 13th day of April, 1998.

STATE OF Oregon ss. COUNTY OF Benton

This 13th day of April, 1998, before me personally came the above-named Anup Sircar, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for

My commission expires:

[SEAL]





### ASSIGNMENT

WHEREAS, I, Anup Sircar, of Corvallis, County of
Benton, State of Oregon, have invented a certain invention
(disclosure attached hereto as Exhibit A), entitled BORIC OXIDE
FREE GLASS FIBER COMPOSITION AND USE, for which an application
for Letters Patent of the United States is being made; and

WHEREAS, Evanite Fiber Corporation, a corporation organized under the laws of the State of Oregon, having a place of business at 1115 S.E. Crystal Lake Drive, Corvallis, Oregon 97339, is desirous of acquiring an interest therein:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, Anup Sircar, hereby sell, assign and transfer to Evanite Fiber Corporation, the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Evanite Fiber Corporation, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and

ASSIGNMENT - PAGE 1 OF 2



entirely as the same would have been held by me had this assignment and sale not been made, and covenant that I have full right so to do, and agree that I will communicate to Evanite Fiber Corporation, or its successors and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid Evanite Fiber Corporation, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

Executed at Corvallis, State of Oregon, this 13th day of April, 1998.

Anup Sircar

STATE OF Oregon ) ss.

COUNTY OF Benton

This 13th day of April, 1998, before me personally came the above-named Anup Sircar, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for My commission expires:

[SEAL]

